ISV application form

Part I: Information List

Date	
Official company name (English/Thai)	
Business name (if any)	
Company address	
Company Website URL	
Contact person	Name & Title :
	Phone no: Email:
Products/services you will provide to Exchange participants	
Potential Customers in Thai Market (if any)	
Service Model	☐ ISV ☐ ASP
Agree to display your company name on SET website	☐ Yes ☐ No
Company profile	
Date of incorporation	
Place of incorporation	
Core business	
Describe your company past experiences in software development and/or customer services including the reference sites and exchange name e.g. Broker AA connect to SET since 1 Jan 2019	Product/Service:
	Customer type and the reference sites:
	Please specify the name of the Exchange(s) which you have been certified as ISV or ASP:

Financial information	
Paid-up capital	
Number of employees and IT staffs	
Number of employees : _____ Number of IT staffs : _____	
IT support during SET/TFEX trading hours	
Name : Phone : Email :	
Management team and IT profile	

Management team

Name	Position	No. of experience in services related to trading system (years)

IT staff

Name	Position	No. of experience in services related to trading system (years)

Attachment (all documents required)	1. The copy of certificate of incorporation 2. Latest Financial statement/Annual report 3. Organizational structure 4. Profile and experience of key management team and key IT staff 5. Systems Architecture 6. Implementation Plan ☐ Others……………………………………………………..

Remark: An application service provider (ASP) is defined as a software vendor that delivers application functionality and associated services across a network to multiple customers.

Part II: System Application Information

Front Office System

Market	☐ Equity Market	☐ Derivatives Market
Apply for System Connections and Protocol for implementation	☐ Trading (SET CONNECT) ○ Order ○ Quote ○ Trade report (block trade) ○ RFQ ○ Advertisement ○ Mass Cancellation Protocol ○ OUCH ○ FIX ☐ Reference Data and Market data (SET FEED) ○ ITCH Multicast ○ ITCH Multicast and ITCH News ○ ITCH TCP ○ ITCH TCP and ITCH News ○ ITCH TCP (MDFA) ○ TCH Multicast and TCP ○ ITCH Multicast, TCP and ITCH News ○ ITCH News ○ FIX Market Data ○ FIX News Protocol ○ ITCH MDF ○ ITCH MDFA ○ FIX ☐ FIX Drop Copy	☐ Trading (SET CONNECT) ○ Order ○ Quote ○ Trade report (block trade) ○ RFQ ○ Advertisement ○ Mass Cancellation Protocol ○ OUCH ○ FIX ☐ Reference Data and Market data (SET FEED) ○ ITCH Multicast ○ ITCH Multicast and ITCH News ○ ITCH TCP ○ ITCH TCP and ITCH News ○ ITCH TCP (MDFA) ○ TCH Multicast and TCP ○ ITCH Multicast, TCP and ITCH News ○ ITCH News ○ FIX Market Data ○ FIX News Protocol ○ ITCH MDF ○ ITCH MDFA ○ FIX ☐ FIX Drop Copy ☐ Clearing (SET CLEAR) Protocol ▪ FIXML
Software Name		
OMS Software Version		
Gateway Software Version		
Platform		

Back Office System

Market	☐ Equity Market	☐ Derivatives Market
Apply for System Connections	☐ Clearing (SET CLEAR) ☐ Pre-settlement matching (PSMS) ☐ Depository (CSD)	☐ Clearing (SET CLEAR)
Software Name		
Software Version		
Gateway Software Version		
Platform		

We hereby certify that the above information are correct accordingly.

Company Seal (If any)	Signature _____ Printed Name (_____) Title _____ Date _____

For more information, please contact ISV Access Support (history kept) or mail to ISV_Access_Support@set.or.th